

PATENT

Docket No: 2026-4124US3

Express Mail Label No. EE065891187US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

First named Inventor

or Application Identifier: Kawakami et al.

For:

MELANOMA ANTIGENS AND THEIR USE IN  
DIAGNOSTICS AND THERAPEUTIC METHODS

Enclosed are

[ X ] 116 page(s) of specification, 1 page(s) of Abstract, 5 page(s) of claims (as originally filed in the parent application)

[ X ] 7 sheets of drawing (Figs 1, 2, 3A-3B, 4, 5A-5B and 6) [ ] formal [ x ] informal (as originally filed in the parent application)

[ X ] 2 page(s) of Declaration and Power of Attorney

[ ] Unsigned

[ ] Newly Executed

[ x ] Copy from prior application

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[ ]      page(s) of Revocation of Power of Attorney and New Power of Attorney

[ x ] Please direct all correspondence and all telephone calls in this matter to:

William S. Feiler (Reg. 26,728)

Morgan & Finnegan LLP

345 Park Avenue

New York, NY 10154

(212) 758-4800

[ x ] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

Express Mail Label No.

- ☐ Microfiche Computer Program (Appendix)
- ☒ 48 page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☒ Information Disclosure Statement
- ☐ Copy of      cited references
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment.
- ☒ Assignment papers as filed in parent application Serial No. 08/417,174 .
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. 08/417,174, herein incorporated by reference.
- ☒ Cancel in this application original claims 1-28, 32-38 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
- ☒ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until May 5, 1998 .
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

Express Mail Label No.

☐ Transfer the drawing(s) from the parent application to this application.

☒ Amend the specification by inserting before the first line the sentence:  
This is a ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial  
No. 08/417,174 filed April 5, 1995, herein incorporated by reference.

**I. CALCULATION OF APPLICATION FEE**

	Number Filed	Number Extra	Rate	Basic Fee
Total				\$ 790.00
Claims	3	-20= 0	x \$22.00	\$
Independent				
Claims	3	- 3= 0	x \$82.00	\$

Multiple Dependent Claims

☐ yes Additional fee = \$270.00 \$  
☐ no Additional fee = NONE

Total: \$ 790.0

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$\_\_\_\_\_.
- ☒ A check in the amount of \$ 790.00 in payment of the application filing fees is attached.
- ☒ Charge Fee(s) to Deposit Account No. 13-4500. Order No. 2026-4124US3. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500 Order No. 2026-4124US3. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By:

Dorothy R. Auth  
Dorothy R. Auth  
Registration No. 36,434

Dated: May 5, 1998

**CORRESPONDENCE ADDRESS:**

Morgan & Finnegan L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kawakami et al. Prior application:  
GROUP ART UNIT: 1642  
CONTINUATION OF SERIAL NO.: 08/417,174 EXAMINER: Huff, S.  
FILED: April 5, 1995  
FOR: **MELANOMA ANTIGENS AND THEIR USE IN  
DIAGNOSTIC AND THERAPEUTIC METHODS**

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EE065891187US

Date of Deposit May 5, 1998

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

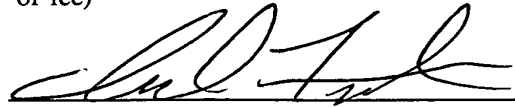
Box Patent Application

Sir:

I hereby certify that the attached Utility Application and Fee Transmittal (enclosing 116 pages of specification, 1 page of abstract, 5 pages of claims and total 7 sheets of informal drawings (Figs 1, 2, 3A-3B, 4, 5A-5B and 6); filing fee in the amount of \$790; Preliminary Amendment; copy of Sequence Listing (48 pages); copy of Declaration and Power of Attorney; copy of Associate Power of Attorney; Information Disclosure Statement; PTO-1449 (3 sheets); copy of Assignment; and Return Postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Israel Fuentes

(Typed or printed name of person mailing paper(s) or fee)



(Signature of person mailing paper(s) or fee)

Mailing Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Telecopier

EE065891187US